

# Ground-based and satellite observations of high-latitude auroral activity in the dusk sector of the auroral oval

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**Abstract.** On Dec 07 2000, during 1330-1530 UT the MIRACLE allsky camera at Ny Ålesund observed auroras at high latitudes (MLAT  $\sim 76^\circ$ ) simultaneously when the Cluster spacecraft were skimming the magnetopause in the same MLT sector (at  $\sim 16$ -18 MLT). The location of the auroras (near the ionospheric convection reversal boundary) and the clear correlation between their dynamics and IMF variations suggests their close relationship with R1 currents. Consequently we can assume that the Cluster spacecraft were making observations in the magnetospheric region associated with the auroras, although exact magnetic conjugacy between the ground-based and satellite observations did not exist. The solar wind variations appeared to control both the behaviour of auroras and magnetopause dynamics. Auroral structures were observed at Ny Ålesund especially during periods of IMF  $B_z$  negative. Then also the Cluster spacecraft experienced periodic ( $T \sim 4$ -6 min) encounters between magnetospheric and magnetosheath plasmas. These undulations of the boundary can be interpreted to be a consequence of tailward propagating magnetopause surface waves. Simultaneous dusk sector ground-based observations show weak, but discernible magnetic pulsations (Pc5) and occasionally periodic variations ( $T \sim 2$ -3 min) in the high-latitude auroras. In the dusk sector Pc5 activity was stronger and had characteristics consistent with field line resonance type activity. When IMF  $B_z$  stayed positive for a longer period the auroras were dimmer and the spacecraft stayed at the outer edge of the magnetopause where they observed electromagnetic pulsations with  $T \sim 1$  min. We find these observations interesting especially from the viewpoint of previously presented studies relating poleward moving high-latitude auroras with

pulsation activity and MHD waves propagating at the magnetospheric boundary layers.

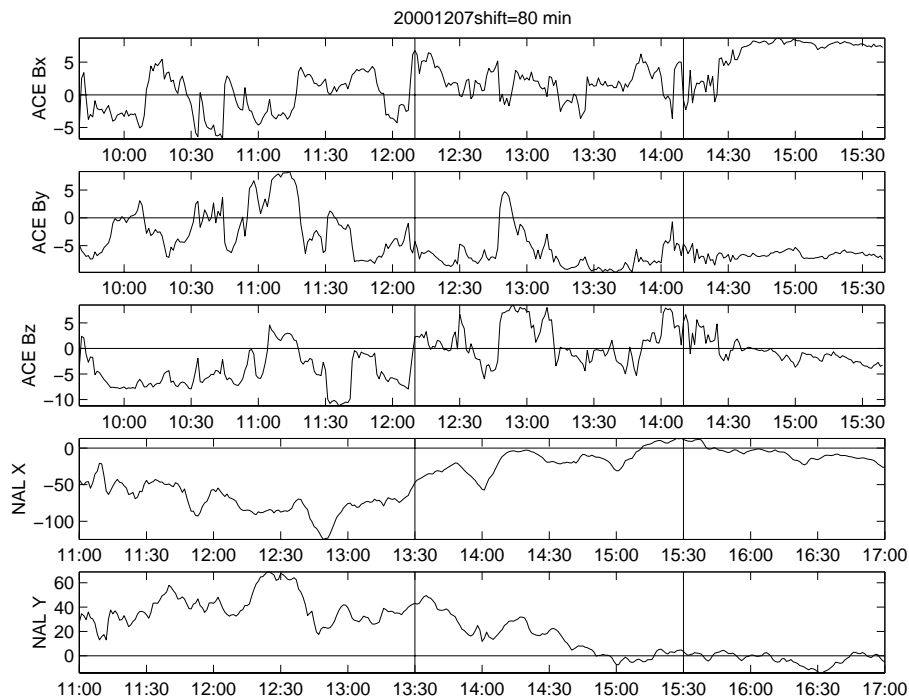
**Key words.** Dusk sector auroras, Pc5 pulsations, magnetopause surface waves, solar wind MHD waves, Kelvin-Helmholtz instability

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## 1 Introduction

Auroral activity is frequently observed in the post-noon and dusk sectors of the auroral oval. All-sky cameras (ASC) at high-latitude stations observe multiple discrete auroral arcs with longitudinally propagating brightenings, folds, or spirals. These auroras can occasionally be bright but usually they are dimmer and more transient than e.g. growth phase aurora in the premidnight sector.

If the dusk component of the interplanetary magnetic field (IMF  $B_y$ ) is strongly positive the northern hemispheric cusp region can shift from the noon to dusk sector as a consequence of partial penetration of the IMF to the magnetospheric cavity (Cowley *et al.*, 1991). Under IMF  $B_y > 0$  conditions the region favouring reconnection of IMF and magnetospheric field lines at the dayside magnetopause shifts duskwards (Luhmann *et al.*, 1991). Consequently, reconnection-related auroras which typically appear in the noon sector can be observed also in the afternoon and dusk sectors (Moen *et al.*, 1999). One such example is analysed in this issue by Opgenoorth *et al.* (2001). If the north



**Fig. 1.** IMF  $B_x$ ,  $B_y$ , and  $B_z$  (GSE-coordinates) by the ACE satellite (three first panels) and magnetic field north and east components as recorded at Ny Ålesund (NAL). The vertical lines show the period of auroral activity at NAL.

component of IMF (IMF  $B_z$ ) is negative cusp auroras are related with so called flux transfer events (FTE) with specific ground magnetic (McHenry and Clauer, 1987) and ionospheric plasma flow (Provan *et al.*, 1998) signatures and velocity dispersed ion signatures in the low-altitude particle precipitation data (Lockwood *et al.*, 1996). The auroras appear typically at the poleward boundary of the auroral oval from where they propagate poleward (and also westward if IMF  $B_y > 0$ ) into the polar cap. Auroral activity occurs quasi-periodically with recurrence rate of  $\sim 3$ -15 min and the lifetimes of the auroral structures are of the order of 2-10 min (Sandholt *et al.*, 1990).

If IMF  $B_z > 0$  and  $B_y > 0$  the most probable merging region at the dayside magnetopause is in the duskside, but at higher latitudes than in the case of IMF  $B_z < 0$  and  $B_y > 0$  (Luhmann *et al.*, 1991). When IMF  $B_z > 0$  the reconnection of IMF and magnetospheric field lines poleward of the cusp may cause auroral activity in the polar cap region (Basinska *et al.*, 1992).

Dusk sector auroral activity residing within the region of closed field lines cannot be directly related with dayside reconnection (Farrugia *et al.*, 1994; Moen *et al.*, 1994). At these latitudes the plasma is convecting sunward and multiple arcs or transient spirals are typically observed when the solar wind speed is high, IMF has a relatively strong radial component, and IMF  $B_z$  is slightly positive. The auroras have been associated with R1 current enhancements as they are located just equatorward of the ionospheric convection reversal boundary. Mapping with empirical magnetic field models have shown that their source region near the magnetic equator is 2-3  $R_E$  inward from the magnetopause. Kelvin-Helmholtz waves developing at the inner edge of the low-latitude boundary layer

(LLBL) is one of the most probable candidates to generate the auroras (Farrugia *et al.*, 1994, 2000).

Auroral forms associated with magnetohydrodynamic wave activity can propagate polewards and antisunward and thus resemble very much FTE-type auroras (Milan *et al.*, 1999). Nevertheless, the two-minute periodicity in the auroral emission indicates rather a connection to the wave activity than to dayside reconnection. In the study of Milan *et al.* (1999) ground magnetometer observations show pulsation activity consistent with field line resonance eigenfrequencies and radar data shows that the wave activity caused also modulation of the ionospheric plasma drift velocity. The pulsating auroras at the open/closed field line boundary can be associated with modulations in the R1 current intensity. The boundary layer activity was coupled with inner magnetospheric processes as magnetic pulsations were recorded in an extended latitude range (MLATs 57-76).

Under suitable conditions magnetopause surface waves triggered by solar wind pressure pulses can lead to magnetosheath plasma penetration into the magnetosphere (Woch and Lundin, 1992). Penetration events are observed within sunward convecting plasma during similar solar wind conditions as dusk sector arcs. Their occurrence rate increases when IMF  $|B_x|$  is large and with increasing solar wind pressure. During dusk sector penetration events IMF  $B_y$  is preferentially positive, but IMF  $B_z$  direction does not control their occurrence significantly. Thus these events could explain other than FTE-type auroras. However, the magnetosheath plasma injections flow systematically tailward while for the cases analysed in the literature the east-west motion of auroras has not shown any preferred direction (Farrugia *et al.*, 1994).

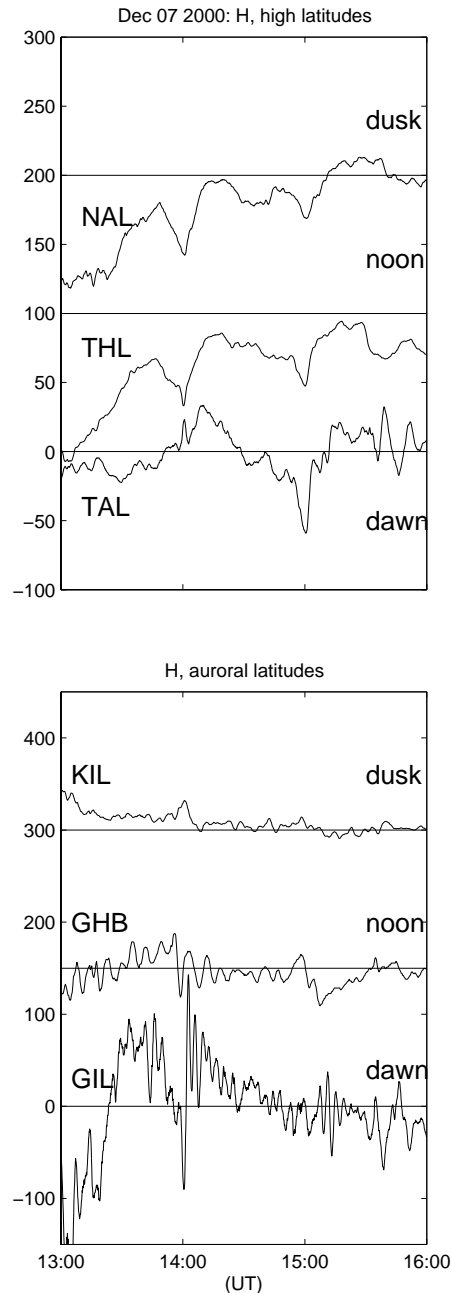
So called travelling convection vortices (TCV) (Glassmeier *et al.*, 1989) are preferentially observed in the dawn sector but in some cases they can cause auroral activity also in the postnoon and dusk sectors. TCVs can be associated with field-aligned current (FAC) systems propagating dawnward and duskward away from noon. The FACs are conventionally assumed to have their source region at the magnetopause or in the LLBL, although recent studies (Yahnin and Moretto, 1996; Moretto and Yahnin, 1998) show that TCVs are typically observed in the region of central plasma sheet type precipitation. The current system includes both upward and downward directed currents which cause counterclockwise and clockwise directed Hall-current loops at their ionospheric footpoints (Glassmeier *et al.*, 1989). As the current system propagates rapidly (a few km/s) antisunward it is natural to assume that also the related auroras move in the same direction, although a case study by Lühr *et al.* (1996) comparing optical and magnetic observations show that auroras appear only occasionally at the footpoint of the upward FAC.

The aim of this study is to examine with the combination of ground-based and Cluster observations the role of magnetopause waves in the generation of non-FTE type dusk sector auroras. After a brief description of the instrumentation (Sect. 2) we present a comparison study of ground-based, Cluster II, and ACE observations made on December 7 2000, during 1330-1530 UT (Sect. 3). Sections 4 and 5 summarize our findings with some discussion from the viewpoint of previous studies on dusk sector auroral activity.

## 2 Instrumentation

The Magnetometers - Ionospheric Radars- Allsky Cameras Large Experiment (MIRACLE) is a two-dimensional instrument network which consists of the IMAGE magnetometer network (Lühr *et al.*, 1998), eight all-sky cameras and the STARE radar system (Syrjäsoo *et al.*, 1998). These instruments in the Scandinavian sector cover an area from subauroral to polar cap latitudes over a longitude range of about two hours in local time. Sampling interval of the IMAGE magnetometer recordings is 10 seconds. The digital all-sky cameras (ASC) have three filters at wavelengths 557.7 nm, 630.0 nm, and 427.8 nm. Auroral intensities are measured in analog-to-digital units (ADUs) varying from 0 to 255. In the standard mode the imaging interval is 20 s for 557.7 nm and 60 s for 630.0 nm and for 427.8 nm. The field-of-view (FOV) of an ASC is a circular area with a diameter of  $\sim 600$  km (at 110 km altitude). Spatial resolution of an ASC image varies between less than one km distances per pixel (near the zenith) to a few km per pixel (near horizon). In this study we use images acquired by the ITACA camera (Orsini *et al.*, 2000) operating in Ny Ålesund ( $78.92^\circ$  N and  $11.93^\circ$  E), Svalbard.

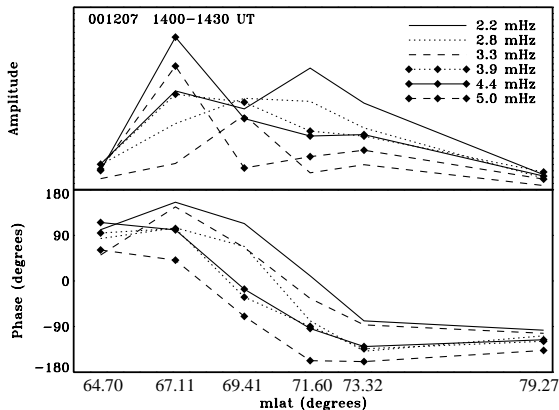
The CUTLASS (Co-operative UK Twin Located Auroral Sounding System) radar system consists of two HF radars and it is part of the international chain of SuperDARN radars (Greenwald *et al.*, 1995). The CUTLASS radars are located in Hankasalmi, Finland ( $26.61^\circ$  E,  $62.32^\circ$  N) and Pykkvibaer, Iceland ( $20.54^\circ$  W,  $63.77^\circ$  N). The system provides high time-resolution measurements (120s in routine operations) of the ionospheric flow vectors over an area of over 3 million  $\text{km}^2$  with a line-of-sight resolution of the order of 50 km.



**Fig. 2.** Magnetograms (magnetic north component) from stations of IMAGE (NAL and KIL), CANOPUS (TAL and GIL) and Greenland (GHB and THL) networks.

The radar FOV is above northern Scandinavia and Arctic Sea covering also the Svalbard archipelago.

Defense Meteorological Satellite Program (DMSP) are a set of sun-synchronous satellites in polar circular orbits at the altitude of  $\sim 835$  km and with orbital period of  $\sim 100$  min. Each DMSP satellite is instrumented with a complement of space environmental sensors. The DMSP auroral particle sensor, SSJ/4, measures the energy distribution of precipitating electrons and ions within the loss cone over the energy range from 32 eV to 30 keV (Hardy *et al.*, 1984). The DMSP ionospheric plasma sensor, SSIES, measures properties of the ionosphere including the convective motion of the



**Fig. 3.** Latitudinal variations of the Fourier amplitude and phases of the X-component along the CANOPUS Churchill line.

background ionosphere (Rich, 1984). For the period of interest here, data from the DMSP F13 spacecraft during an overflight of Ny Ålesund is applicable to the discussion.

The ACE/MAG instrument measures the interplanetary magnetic field (IMF) vector near the Sun-Earth line at the upstream distance of  $\sim 230 R_E$  from the Earth. The two magnetometers on ACE are wide-range triaxial fluxgate magnetometers with a sampling rate 1/30 s. In this study we use data which has been averaged over 16 seconds. ACE/SWEPAM monitors the electron and ion fluxes in the energy ranges 1-1240 eV and 0.26-35 keV, respectively. Below we use dynamic pressure as determined from ACE/SWEPAM proton density and speed observations (64 s averaged values).

During December 2000 and January 2001 the Cluster II spacecraft were still in the commission phase but their orbital plane was in the dusk sector and thus the instruments gathered already then interesting data from the viewpoint of this study. On December 07, 2000, tests of the possible interference effects between the different type of instruments were performed. First tests of almost all instruments in operation were made during the period of the magnetic conjunction with the Svalbard region.

The Cluster Electric Field and Wave experiment (EFW) (Gustafsson *et al.*, 1997) measures the electric field and the satellite potential with a high amplitude and time resolution. The experiment has four probes on wire booms in the spin plane of each satellite with a probe-to-spacecraft separation of 44 m. The experiment is well suited to study both the large-scale structures (inter-spacecraft comparisons) and micro-scale structures (inter-probe comparisons). In this study we use data of the satellite potential sampled at 5 samples/s. The satellite potential can be used to estimate the plasma density. Negative satellite potentials of -20, -10 and -5 V correspond to plasma densities of about 0.9, 2 and 10  $\text{cm}^{-3}$  (Pedersen *et al.*, 2001).

The magnetic field instrument (FGM) (Balogh *et al.*, 1997) onboard each Cluster spacecraft consists of two triaxial fluxgate magnetometers and a data processing unit. One of the magnetometers is located at the end of a 5.2 m long radial boom, the other is at 1.5 m inboard from the end of the boom. Either of these sensors can be designed as the primary

sensor which collects data with a higher time resolution than the other sensor. The instruments can measure the field with sampling rates up to 67 vector/s. In this study we use spin resolution (4 s) FGM measurements.

### 3 Observations on December 7 2000

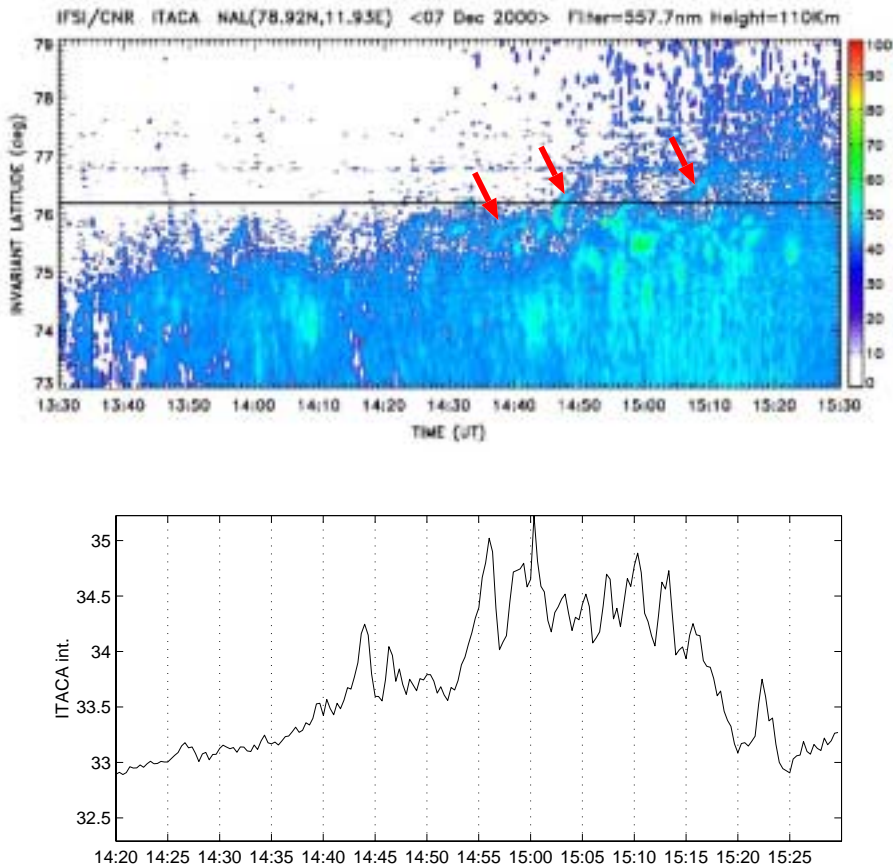
#### 3.1 IMF, low-altitude satellite, and ground-based observations

According to Wind observations (satellite location  $X_{GSE} \sim 40 R_E$ ,  $Y_{GSE} \sim 170 R_E$ ,  $Z_{GSE} \sim 8 R_E$ ) during December 5-8 2000 the solar wind density was higher than under average conditions. A front of dense plasma was followed by high-speed streams (velocities around 600-700 km/s) during 8-12 December 2000. On December 7 the solar wind density was 10-15  $\text{cm}^{-3}$  and velocity 420-460 km/s. Consequently, the dynamic pressure varied around 4 nPa (Fig. 8, third panel).

The IMF data (ACE/MAG data) together with IMAGE station Ny Ålesund (NAL) magnetograms are shown in Figure 1. The correlation between the ground magnetic north component ( $X$ ) and IMF  $B_z$  is best (0.7) when the delay from ACE to dusk sector ionosphere is assumed to be 80 min. During 1210-1410 UT ACE recorded mainly negative  $B_y$ , with a short excursion to positive values around 1250 UT. IMF  $B_z$  and  $B_x$  varied between -5 and 8 nT. The solar wind proton density (data not shown) pulsed quasiperiodically around 13  $\text{cm}^{-3}$  with amplitudes up to 2  $\text{cm}^{-3}$ . The dominant periods of the density pulsations are  $\sim 8$ -9 min, but short- and long-period pulsations are superposed. At the same time, solar wind speed fluctuated with similar periods.

Figure 2 shows six H (magnetic north component) magnetograms of some IMAGE, Greenland network (Friis-Christensen *et al.*, 1985) and CANOPUS (Rostoker *et al.*, 1995) stations. The figure shows for each network data from one high-latitude station (MLATs 76.1-85.7, AACGM coordinates are used throughout this study) and one station at standard oval latitudes (MLATS 65.9-70.9). When IMAGE was in the dusk sector, Greenland and CANOPUS magnetometers monitored the noon and dawn sectors, respectively. The magnetograms recorded at auroral latitudes show during the whole period pulsations in the Pc 5 range. The pulsations are evident in the noon and dawn sectors and somewhat weaker, but discernible in the dusk sector. Largest amplitudes were recorded at the CANOPUS stations after 14 UT. The large amplitudes can be related with the IMF  $B_z$  excursion to negative values as observed by ACE at 1240 UT (c.f. Fig. 1). This excursion enhanced temporarily the ionospheric convection which can be seen as negative (evening cell) and positive bays (morning cell) around  $\sim 14$  UT in the H magnetograms of the high-latitude stations. Similar convection enhancement took place also around  $\sim 15$  UT (IMF turning after 1310 UT), although then the morning sector ionospheric convection reversal had moved to higher latitudes so that TAL was not anymore monitoring polar cap convection but sunward convection which caused negative deviation in H.

Results of the Fourier-analysis of the X-pulsations observed during 1400-1430 UT by the Churchill meridional chain of CANOPUS stations (ISL, GIL, CHU, ESK, RAN,



**Fig. 4.** (top panel) The ITACA keogram and (bottom panel) temporal variations of the average auroral intensity (in ADUs) along the middle magnetic meridian of the camera FOV.

and TAL) are shown in Figure 3. The plots show the Fourier amplitudes and relative phases at different frequencies as functions of latitude. The curves illustrate the characteristics typical for field line resonances (FLRs) (Chen and Hasegawa, 1974). The latitude of maximum amplitude decreases with increasing frequency. According to CANOPUS observations the amplitudes of 5.0 and 4.4 mHz peak at GIL (MLAT 67.11°), that of 3.3 mHz at CHU (MLAT 69.41°), and the amplitude of 2.2 mHz at ESK (MLAT 71.6°). At frequencies 2.8 and 3.9 mHz the peaks in the amplitudes are less pronounced. For all frequencies the phases decrease with  $\sim 180^\circ$  at the latitudes of maximum amplitude which also is a typical FLR feature.

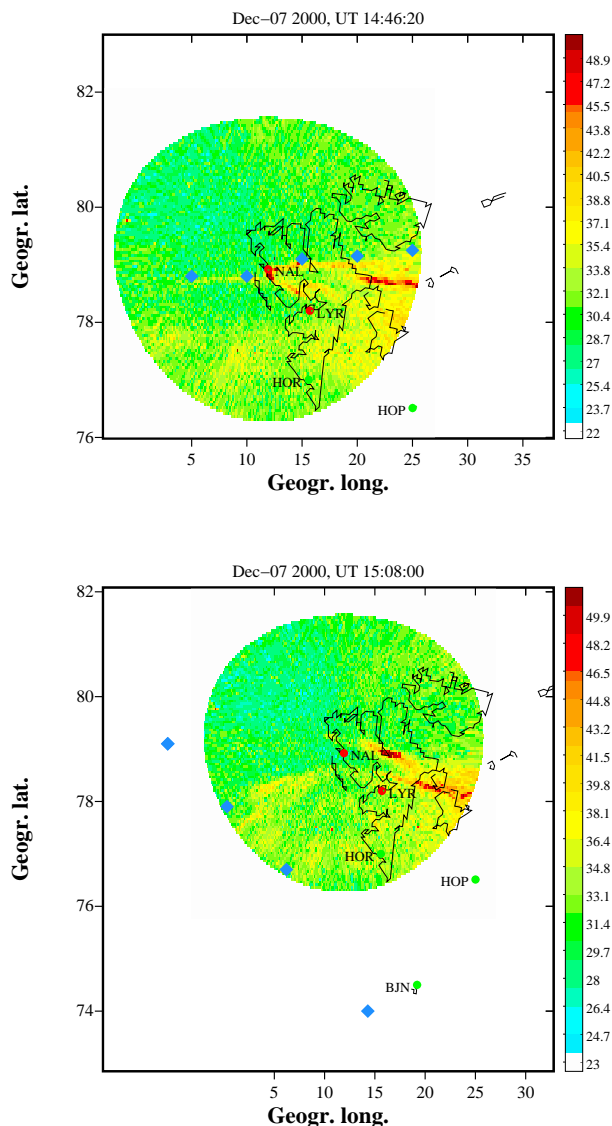
Figure 4 shows the ITACA keogram (intensity versus latitude along the middle magnetic meridian of the camera FOV) for period 1330–1530 UT. After 1325 UT the allsky camera (MLAT = 76.1, MLT  $\sim$  UT+3) started to observe faint auroras near the southern horizon. The auroras stayed in the horizon until  $\sim$  1347 UT when auroras appeared also to the southern sky of Ny Ålesund. This auroral activation can be associated with the convection enhancement observed by the polar cap magnetometer stations, as both the auroras and convection ceased at  $\sim$  1410 UT. After 1426 UT the auroras reappeared near the zenith and folds and rayed structures were observed until  $\sim$  1520 when clouds started to disturb the recordings gradually. Again, these auroras can be associated with enhanced convection and IMF  $B_z$  turning to negative values, al-

though the auroras brightened somewhat earlier than the IMF turning (with the 80 min delay) took place. Faint poleward propagating auroras (marked with the red arrows) near the zenith were observed during 1440–1515 UT. Sunward propagating structures are visible at least in the ITACA frames acquired at 1445–1447 UT and 1521–1522 UT. Examples of the ITACA frames (on maps) recorded during the latter period of enhanced activity are shown in Figure 5.

The DMSP F13 satellite flew above the ITACA FOV during 1503–1511 UT. The track of the satellite footpoints is shown in the second frame of Figure 5 and the particle precipitation data and electric field observations (as converted to plasma flow velocities) are shown in Figure 6. The location of the poleward edge of the auroras (marked with the vertical line in Figure 6) coincides with the poleward edges (at 15:07 UT) of structured electron precipitation and energetic ion precipitation. Furthermore, at the same latitudes the satellite observed the evening sector ionospheric convection reversal where the sunward convection (lower latitudes) changed to antisunward convection (higher latitudes).

### 3.2 Cluster II observations

Figure 7 shows the Cluster orbit and spacecraft configuration during 1330–1530 UT (the distances between the four spacecraft have been multiplied by 10 to show the configuration



**Fig. 5.** Mapped ASC images (wavelength 557.7 nm, intensity in ADUs) recorded by ITACA at Ny Ålesund at 14:46:20, and 15:08:00 UT. The altitude of the auroras is assumed to be 110 km. The diamonds in the upper plot delineate the poleward edge of the auroras and in the lower plot they show the DMSP F13 footprints during 15:06-15:08 UT (c.f. Figure 5). In the lower plot the second diamond from the top marks the poleward edge of the auroral precipitation observed by DMSP.

more clearly). According to EFW observations the magnetopause was closer to the Earth than e.g. the statistical magnetopause model by Shue *et al.* (1997) suggests. Consequently the spacecraft were mainly in the magnetosheath just outside high-latitude dusk flank of the magnetopause or in the magnetospheric boundary layers, either in high-latitude boundary layer (HLBL) or in LLBL. Spacecraft 2 (Salsa) was about 800 km sunward from the other spacecraft.

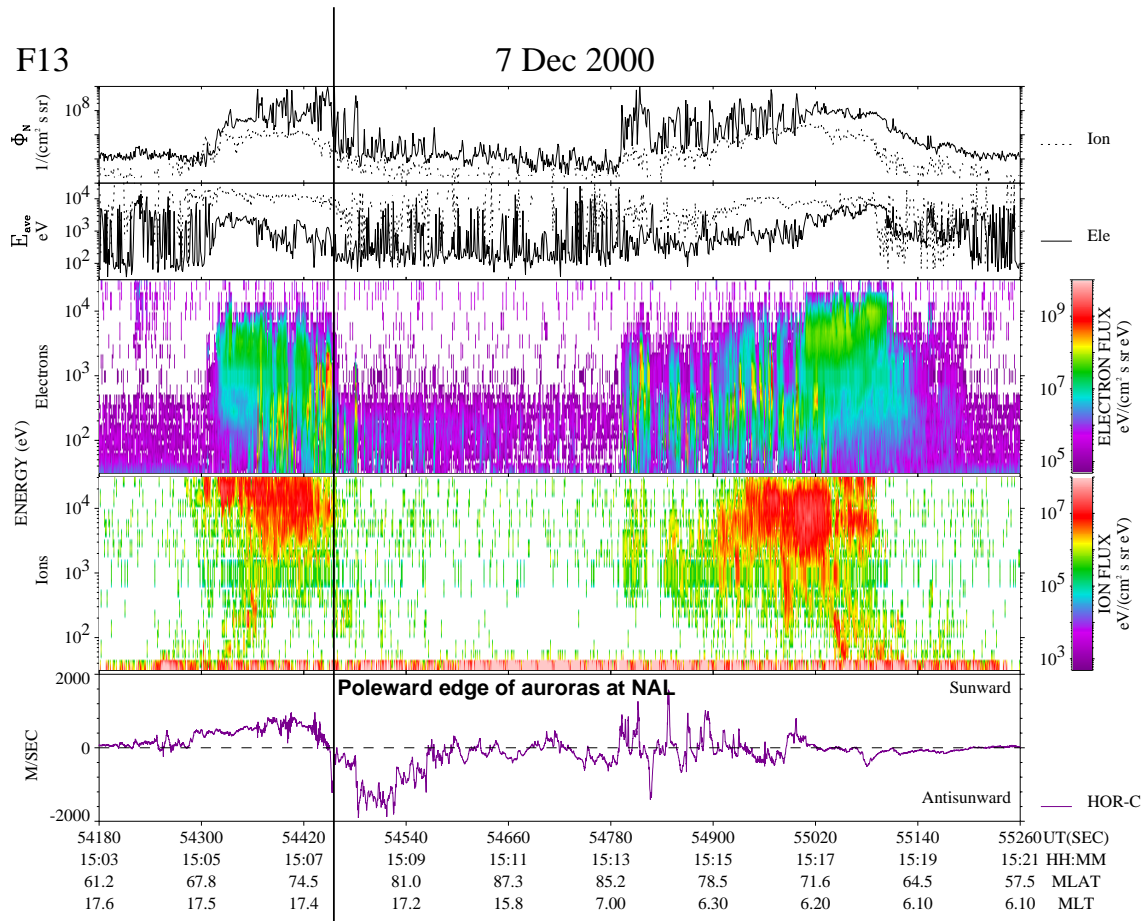
Satellite potential recordings are available only from spacecraft 3 (Samba). The negative of the potential ( $-\phi$ ) is shown in the second panel of Figure 8. During the periods when Samba was in the dense magnetosheath plasma  $-\phi$  was around -4 V, while during the visits into the thinner magnetospheric plasma  $-\phi$  dropped down to values  $< -10$  V. The

$-\phi$  values in the range -6...-5 V correspond to plasma densities around  $10 \text{ cm}^{-3}$  which is a typical magnetosheath or solar wind density, while  $-\phi$  values between -10...-15 V correspond to magnetospheric plasma densities ( $1 \text{ cm}^{-3}$ ) (Pedersen *et al.*, 2001).

During 1340-1410 UT  $-\phi$  exhibits several quasi-periodic ( $T \sim 4-6$  min) transitions between magnetospheric and magnetosheath plasmas. During 1410-1425 UT the satellite potential shows variations with shorter periods ( $T \sim 1$  min) and smaller amplitudes.  $-\phi$  being close to -5 V suggests that Samba stayed at the outer edge of the magnetopause or in a magnetospheric boundary layer during this period. A couple of encounters with magnetospheric type plasma were recorded around 1430 UT and 1445 UT, and after  $\sim 1450$  UT the spacecraft eventually moved further away from the magnetopause.

The top panel of Figure 8 is a reproduction of the third panel of Figure 1 showing the IMF  $B_z$  as recorded by ACE/MAG. Due to the differences in the signal propagation speeds along the magnetopause and into the ionosphere the time delay of 80 min giving the best correlation with the ground-based observations may not directly be applicable in the comparisons with the Cluster data. Nevertheless, it seems that the density variations with smaller amplitudes took place only during the period of IMF  $B_z > 0$ , while the larger oscillations were observed when IMF  $B_z$  was mainly negative. Note also that IMF  $B_z$  had quasiperiodic variations in with a shorter period ( $T \sim 1$  min) especially during 1405-1420 UT (delayed time) and with a longer period e.g. during 1330-1405 UT. The periods of the satellite potential variations follow surprisingly well the periods of the IMF  $B_z$  oscillations. The bottom panel of Fig. 8 shows the dynamic pressure as derived from the ACE/SWEPAM 64 s resolution data. The longer period variations are visible also in this curve, especially during  $\sim 1345-1410$  UT.

The satellite potential oscillations can be associated with certain magnetic field variations which were observed by the FGM instruments onboard all the four spacecraft. The signatures observed at Salsa systematically precede those observed at the other satellites. Thus we can associate the variations with tailward propagating waves. Two examples of the magnetic variations associated with the waves as recorded by the Salsa and Samba FGMs are shown in Figure 9. In order to resolve the real phase velocity of the waves along the magnetopause the observed magnetic field vectors should be transformed to the LMN-coordinates (Russell and Elphic, 1979) (N normal to the boundary, M and N in the boundary tangential plane). For this case defining appropriate LMN-coordinates is difficult because data from a clear magnetopause crossing close enough to the time of our interest are not available. Consequently, the phase velocities are estimated with the component along the Salsa-Samba line. The inter-spacecraft time delays are determined by visual comparison of the curves because both temporal variations and convecting signatures are mixed in the data and thus the cross correlation method would not give reliable results. During the larger oscillations (period 1340-1410 UT) which we interpret as consequences of magnetopause surface waves the delays between the signatures observed at Salsa and Samba at the eight clearest transition times varied between 2 and 8 s (error in the timing



**Fig. 6.** Data recorded by DMSP F13 instruments during the track shown in Figure 5 (from top to bottom): Ion and electron number fluxes and average energies, spectra showing differential energy fluxes, and plasma flow velocities. The vertical line marks the location of the poleward edge of the auroras shown in Figure 5.

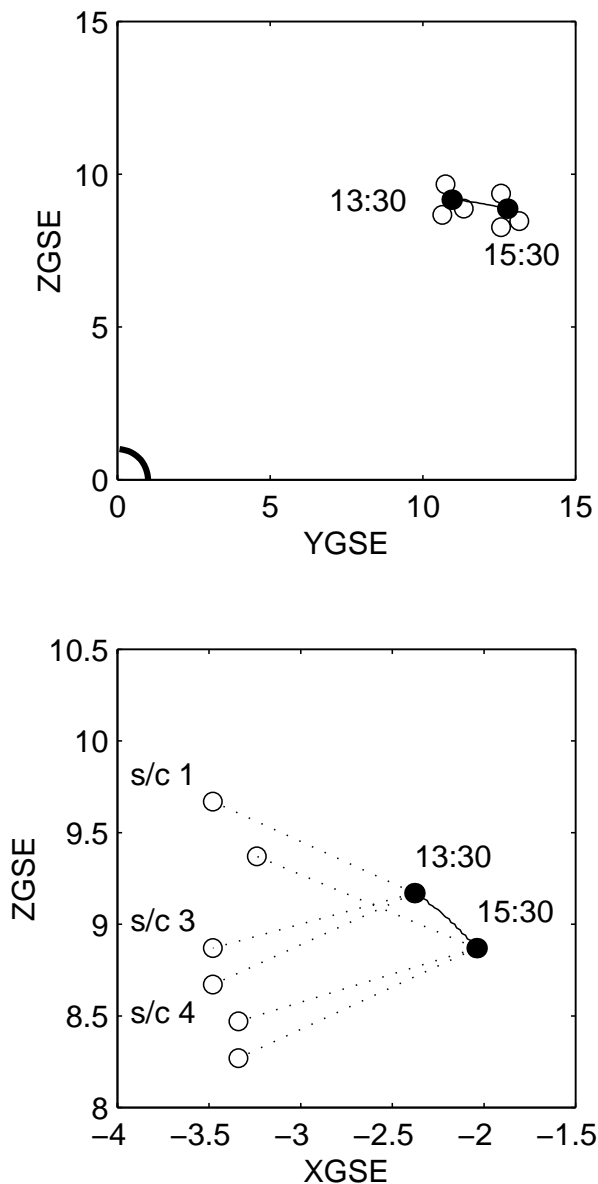
$\pm 2$  s) yielding estimates for the wave phase velocities in the range between 106 km/s and 385 km/s ( $\pm 100$  km/s, average 215 km/s). The time delays associated with the shorter and smaller density pulsations (eight transition times) are significantly less scattered ( $6-8$  s  $\pm 2$  s) yielding velocities 121-169 km/s ( $\pm 100$  km/s, average 126 km/s).

## 4 Discussion

### 4.1 Solar wind MHD waves and magnetopause waves

The wave activity which Cluster observed at the magnetopause have a good correlation with the upstream solar wind variations recorded by ACE at  $\sim 230 R_E$  (c.f. Fig. 8). A comparison of ACE/MAG and ACE/SWEPAM observations reveals that the corresponding components of the IMF and velocity are correlated while the IMF magnitude is relatively constant. For IMF  $B_x$  mainly positive this suggests antisunward propagating Alfvén waves (Belcher and Davis, 1971) convecting at solar wind speed. However, as also the solar wind proton density pulsed quasiperiodically the oscillations propagating in the solar wind were most probably a mixture of Alfvén and compressional waves.

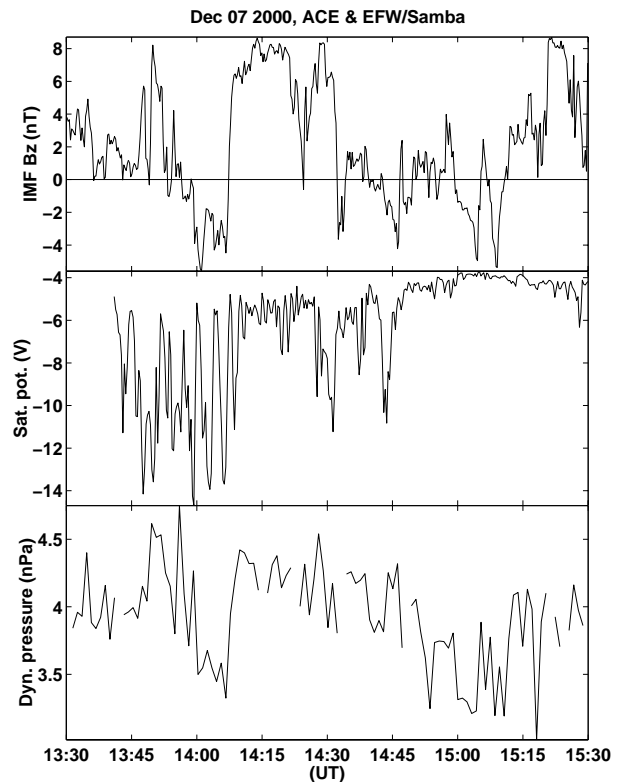
The solar wind Alfvén waves interact with the bow shock generating Alfvén and compressional modes in the magnetosheath (Lin *et al.*, 1996; Sibeck *et al.*, 1997). The MHD waves impinging on the magnetopause can pulse dayside reconnection rate and be a source of Pc5 pulsations in the magnetosphere (Lockwood *et al.*, 2001; Prikryl *et al.*, 1998). The compressional waves in the magnetosheath are expected to cause magnetopause surface waves such as those observed by Cluster during the event discussed here. Also, the fast mode is launched into the magnetosphere where it can couple to the shear mode driving FLRs. In our case magnetic field oscillations with periods in the range 3-6 min were recorded e.g. by the geostationary GOES satellite in the dusk sector and Geotail in the midtail ( $X_{GSE} \sim -15 R_E$ ) midnight region (data not shown here). On the ground FLRs cause magnetic pulsations like the magnetograms in our Fig. 2 demonstrate. Thus a combination of Alfvén and compressional waves propagating in the solar wind was most probably one cause for the appearance of the magnetopause surface waves and magnetospheric and ground magnetic pulsations on December 7, 2000.



**Fig. 7.** Cluster orbit and configuration during 1330-1530 UT December 7, 2000 in GSE YZ and XZ planes. The solid line and black dots show the location of s/c 2 (Salsa). In the bottom panel the dashed lines join Salsa with the other spacecraft. For clarity reasons the real inter-spacecraft distances have been multiplied by 10.

#### 4.2 On the connection between surface waves and auroras

The theoretical study by Hasegawa (1976) shows that MHD surface waves can convert to kinetic Alfvén waves with an electric field component parallel to the background magnetic field. The parallel electric field can accelerate particles e.g. at the inner edge of the plasma sheet or LLBL. Some observational support for the latter option is presented in the case study of high-latitude dusk sector auroras by Farrugia *et al.* (1994), although this study does not include any magnetospheric observations. The authors associate the waves with Kelvin-Helmholtz instabilities driven by the velocity and magnetic shears at the LLBL inner boundary. A more recent study by Farrugia *et al.* (2000) analysing in-situ measure-



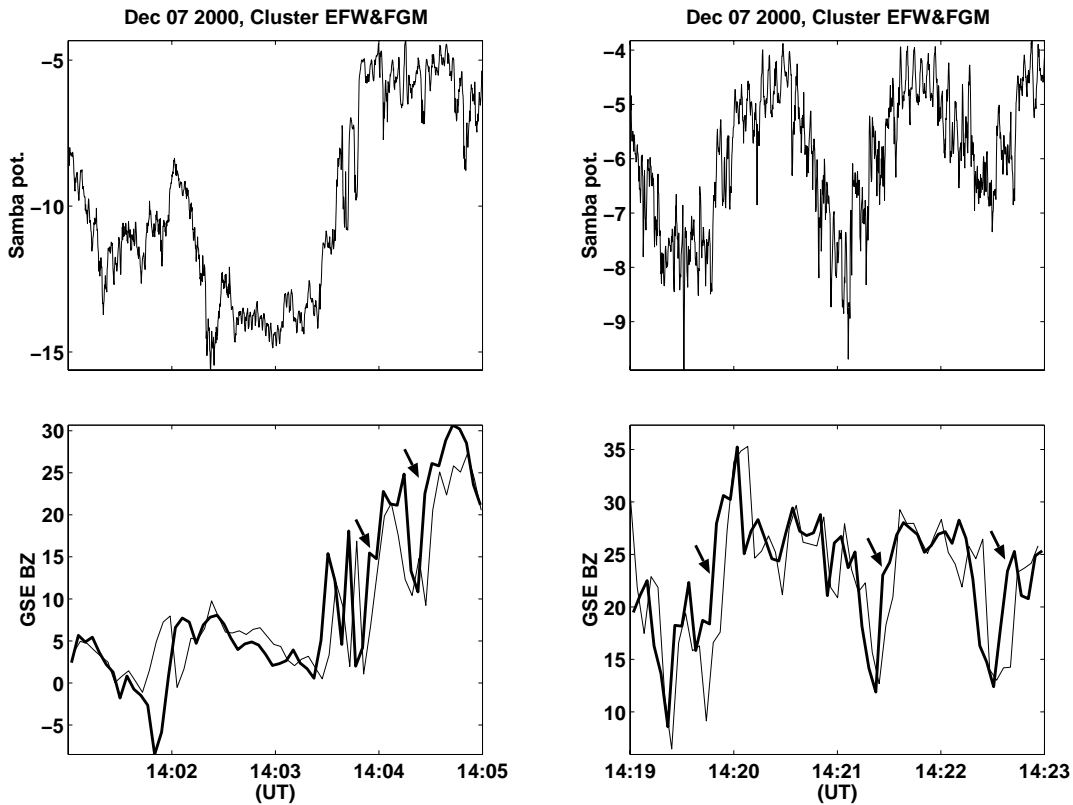
**Fig. 8.** (top panel) IMF  $B_z$  as recorded by ACE (time shifted with 80 min), (middle panel) negative of the satellite potential of Samba (s/c 3), and (bottom panel) dynamic pressure based on ACE/SWEPAM proton density and speed data.

ments from equatorial magnetopause shows that the conditions for KHI to become unstable are more favourable at the LLBL inner boundary than at the magnetopause.

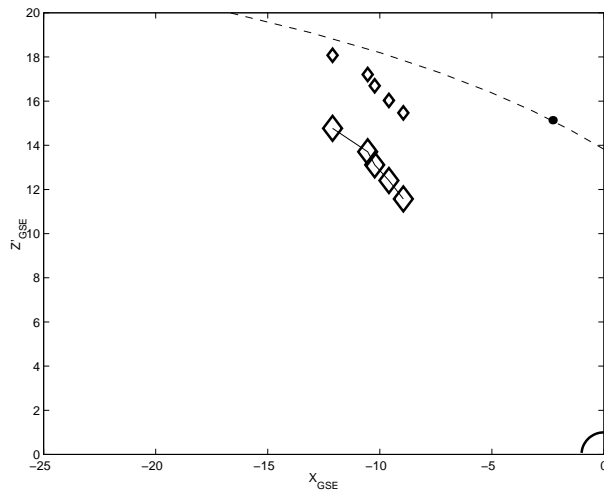
The solar wind conditions during our event are in many respect different from those of the events analysed by Farrugia *et al.* (1994) and Farrugia *et al.* (2000). In these events IMF  $B_z$  stayed continuously positive and thus energy transfer from solar wind to magnetosphere took mainly place via viscous interactions, while in our case energy transport took place also via dayside reconnection during the periods of IMF  $B_z < 0$ . In the case of Farrugia *et al.* (2000) abrupt changes in the solar wind density generated KH-type waves which propagated along the magnetopause from the noon to the flanks. Tailward propagating waves were observed also at the inner edge of LLBL. In our case the magnetopause fluctuations were at least partly driven by MHD waves in the solar wind. Despite of these differences in the generation mechanisms, the waves described here and in Farrugia *et al.* (2000) have some similarities as in both cases tailward propagating waves with periods in the Pc 5 range (accompanied by ground Pc 5 pulsations) were observed. Thus it is reasonable to assume that from the viewpoint of the theory of Hasegawa (1976) in both cases the waves should be able to generate auroras either within the sunward convecting field lines (LLBL inner edge waves) or at the convection reversal (magnetopause waves).

The magnetic field lines associated with the auroras analysed by Farrugia *et al.* (1994) map according to the model by





**Fig. 9.** Negative of the satellite potential of Samba and  $B_z$  (GSE) as recorded by Salsa (thick line) and Samba (thin line) FGMs. Left (right) panel shows an example recorded during the larger (smaller) potential variations. The arrows point the differences in the curves which have been used when estimating the phase velocities.

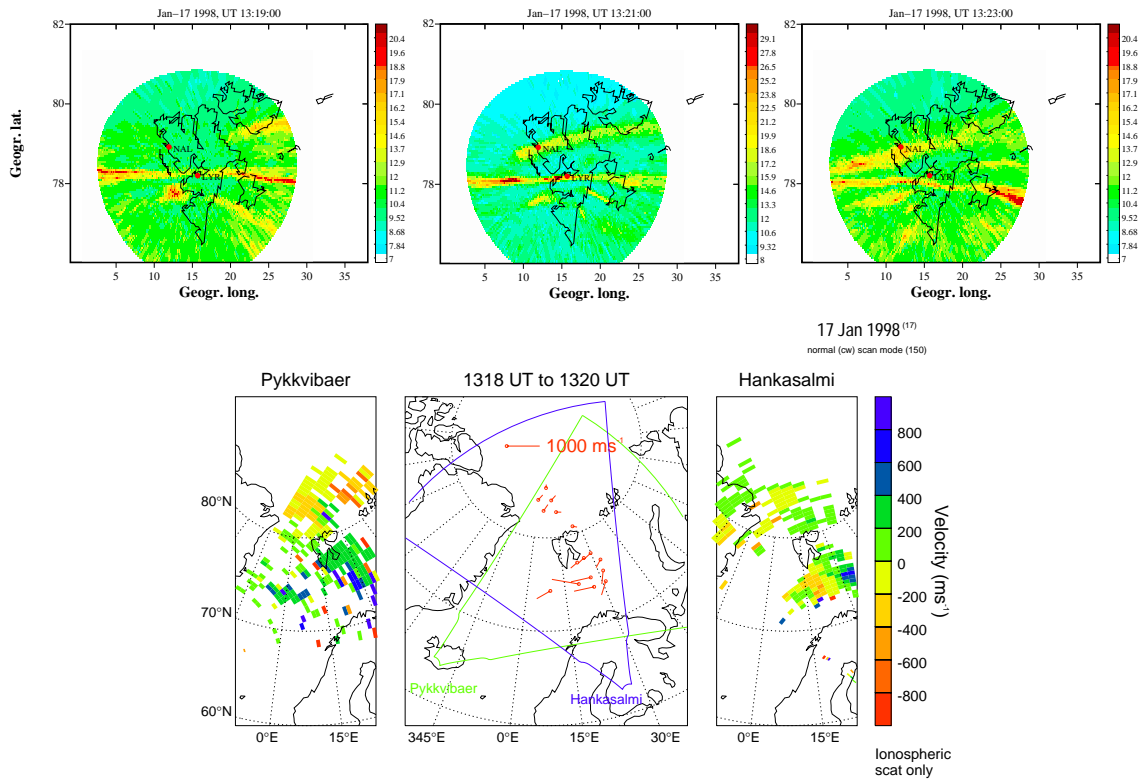


**Fig. 10.** The locations (the larger diamonds joined with the thin line) of the field lines conjugate with the poleward edge of auroras observed by ITACA at 14:46:20 UT (c.f. Figure 5) in the plane defined by the Samba location and the X-axis of the GSE coordinate system. The dashed line shows the magnetopause location according to the model by Shue *et al.* (1997). The smaller diamonds show the maximum radial extents ( $R = (Y_{GSE}^2 + Z_{GSE}^2)^{1/2}$ ) of the traced field lines.

Tsyganenko (1989) to magnetospheric equatorial locations clearly inside the magnetopause. Similar mapping results for our event are presented in Figure 10 which shows the loca-

tion of the poleward edge of the auroras observed at the Ny Ålesund zenith at 14:46:20 UT (c.f. Figure 5) as mapped with the magnetic field model by Tsyganenko (1996) (T96) to the plane where Samba was located at this moment. The spacecraft location is marked with the black dot and the dashed line shows the magnetopause location according to the model by Shue *et al.* (1997). The larger diamonds show the locations of the field lines in the plane of Samba while the smaller diamonds show the largest radial extents of the field lines (achieved near the equatorial plane). Keeping in mind that in this case the magnetopause was more contracted than the model suggests we can conclude that the auroras had their source region near the magnetopause. The Cluster satellites were monitoring the magnetopause dynamics somewhat sunward from the conjugate region of the auroras, but not too far to make relevant comparisons with the ground-based observations.

From the basis of the mapping result of Fig. 10 we can assume that the auroras observed near the zenith of Ny Ålesund have their source region near the magnetopause surface waves observed by the Cluster spacecraft. These auroras can brighten either due to the magnetopause waves or due to R1 current enhancements associated with enhanced global convection driven by dayside reconnection. A nice correlation between variations in the convection and auroral emission in the R1 region is demonstrated in the study of Moen *et al.* (1995). The periods of brightest aurora in the ITACA keogram of Fig. 4 roughly coincide with the IMF  $B_z < 0$



**Fig. 11.** Three ASC images (557.7 nm emission in ADUs, assumed to be at 110 km altitude) acquired at LYR on January 17, 1998, at 1319, 1320, and 1323 UT and ionospheric plasma flow direction as observed by the CUTLASS radar system (plots in the bottom row). In the bottom row the left (right) side plot shows the line-of-sight velocity of the Iceland (Finnish) radar. Positive velocities are towards the radar. The middle plot shows the actual velocity vectors as derived by combining the data of the two radars.

periods, although not exactly. It is evident, however, that the sign of IMF  $B_z$  controls the appearance of the auroras rather than the existence of the magnetopause waves. Both ACE and ground-based magnetometer observations (Fig. 2) show that most probably the surface waves persisted at the magnetopause during the whole UT-afternoon.

Previously documented observations of ULF wave activity in the magnetospheric boundary layers (Farrugia *et al.*, 2000) and in the R1 region auroral precipitation (Milan *et al.*, 1999) suggest that these phenomena would be mutually coupled and associated with Pc 5 pulsation activity at the auroral latitudes. Our data set supports this view, although the pulsations in the dusk sector ground-based observations are rather weak. At high latitudes magnetic pulsations were superposed with longer period, IMF  $B_z$  controlled variations and thus they were not as prominent as in the case of Milan *et al.* (1999). The intensities of the auroras were at times very low, but the time series of the average intensity along the middle magnetic meridian of the camera FOV (Fig. 4, bottom panel) has clear pulsations ( $T \sim 2-3$  min) during 1450-1520 UT. During this same period the ITACA keogram shows poleward moving structures which resemble the structures analysed by Milan *et al.* (1999), although in our case the patterns are less regular.

### 4.3 Dawn-dusk asymmetry in the ground magnetic pulsations

Interestingly, Fig. 2 shows that there is a clear asymmetry in the pulsation characteristics observed on closed field lines on the ground between the dawn and dusk sectors. The dawn side waves (e.g. at GIL) show the existence of large amplitude pulsations, whilst those at dusk are much less apparent and of a much lower amplitude. Observations from Cluster at the dusk side magnetopause show clear evidence of periodic magnetopause motion across the satellites, consistent with surface waves. Given that the magnetosheath flow is likely to be approximately symmetric down both flanks, one might ask why large amplitude pulsation activity is only observed on closed field lines at dawn.

One possible explanation involves the possibility that the magnetopause surface waves and pulsations on the ground are related to the development of the Kelvin-Helmholtz (KH) instability on the magnetopause or the low-latitude boundary layer. Recent work by Mann *et al.* (1999) has shown that in addition to the standard KH surface wave instability (Pu and Kivelson, 1983), it is also possible for the KH instability to inject energy into body modes which have a propagating character in the magnetosphere. Body type waves in the Pc5 band on the flanks are usually associated with magnetospheric waveguide modes. These waveguide modes are trapped between the magnetopause and a turning point within the magnetosphere and hence possess discrete frequencies,

propagate and disperse down the waveguide on the magnetospheric flanks (Walker *et al.*, 1992; Wright, 1994).

The KH instability develops as a result of shear flow, however, the undulations which develop on the magnetopause can be stabilised by magnetic tension forces. As discussed by Lee and Olson (1980) the direction of the IMF would be expected to preferentially stabilise the dusk magnetopause as compared to the dawn side. Moreover, as discussed by Mann *et al.* (1999), there is a critical magnetosheath flow speed which must be exceeded for body type magnetospheric modes to be energised by the KH instability, and this speed is in general larger than the critical speed required for the development of KH surface wave.

In the observations presented here (Fig. 3), the dawn side waves on closed field lines show some similarities with the amplitude and phase characteristics expected for waveguide mode driven field line resonances (Mathie *et al.*, 1999). Moreover, as shown by Mathie and Mann (2000), the KH instability can be a very efficient driver of waveguide modes and hence of large amplitude pulsations on closed field lines. Given that the dawn flank is likely to be more KH unstable than dusk, it is possible that the criteria for the KH excitation of body waveguide modes was satisfied in the dawn sector, and resulted in the excitation of large amplitude pulsations on closed field lines there. At dusk, however, where the KH is likely to be less unstable, it is possible that the criteria for the excitation of body waveguide modes by the KH instability was not satisfied. The dusk magnetopause could have remained KH unstable for the surface wave, with both Cluster and ITACA observing the consequences of this surface wave.

#### 4.4 Sunward propagating auroral structures

If tailward propagating waves either at the magnetopause surface or at the inner edge of LLBL are assumed to cause high-latitude auroral activity it is difficult to understand why there occasionally appear sunward propagating brightenings in the auroras. On December 7, 2000 such brightenings were observed during two short (1-2 min) periods. In order to get a better view how common sunward propagating brightenings are we collected a reference data set of ASC images recorded in Svalbard during January 1998. The data set includes eight 1.5-3 hour periods during which the ASC at LYR (MLAT=75.2, MLON=113.3, MLT~UT+3) observed dusk sector auroras. The set consists of 3720 ASC frames recorded with 20 s resolution and 557.7 nm filter.

Brightenings propagating sunward along the arcs are a relatively frequent and rather striking feature in the reference data set. In animations the arcs seem to build up as “fingers” which intrude from the east horizon to the camera field of view. Such intrusions can appear either at the poleward edge of the auroral region or within the other arcs. One example of the former situation is shown in Figure 11 with simultaneous plasma velocity recordings by the CUTLASS radar system. The arcs are in the region of sunward convecting plasma and thus the situation is similar as in the studies by Moen *et al.* (1994) and Farrugia *et al.* (1994). According to Pykkvibaer line-of-sight velocities the sunward intruding arc resided close to the transition region between sunward and antisunward flows. The average propagation speed of the

“finger tip” of the arc is 2.6 km/sec, which is clearly higher than the surrounding plasma velocity. A similar event took place 15 min later, and according to simultaneous DMSP data the arc in this case was just on the equatorward side of the polar cap boundary.

In summary, it seems that solar wind properties cannot alone control the appearance and dynamics of non-FTE type dusk sector auroras. Also processes related with the sunward convecting return flow in the tail may modulate the dusk-sector auroral precipitation. In the case of Figure 11, however, quicklook plots of both AE index and geostationary particle fluxes as recorded by the Los Alamos National Laboratory instruments show quiet conditions in the tail around the time of interest.

## 5 Summary and Conclusions

We have analysed high latitude auroral activity and magnetopause dynamics in the dusk sector on Dec 07, 2000, from the basis of simultaneous ground-based and Cluster observations. Comparison of ACE upstream solar wind data and Cluster FGM and EFW data indicates a close connection between the magnetopause surface waves and quasi-periodic variations in the solar wind magnetic field, velocity and density. Wave modes with periods in the Pc5 range and around 1 min are most pronounced in the data, and comparisons of magnetic field observations of two Cluster spacecraft shows that the shorter and longer period waves were propagating tailward with mean velocities of 126 km/s and 215 km/sec, respectively. The solar wind MHD waves may not have been the only driver of the magnetopause waves. The apparent dawn-dusk asymmetry in the ground magnetic pulsation amplitudes suggests that also KHI developing at the magnetospheric boundary layers generated wave activity with different penetration depths in the dusk and dawn flanks.

We concentrated to analyse the relationship between the magnetopause waves and auroras at the ionospheric convection reversal. Previous studies have shown that during extended periods of relatively constant IMF conditions ( $IMF B_z > 0$ ) KH waves at the inner edge of LLBL can cause high-latitude auroras (Farrugia *et al.*, 1994, 2000) within sunward convecting field lines. We studied whether the same mechanism would operate also during more variable IMF conditions. According to Cluster observations the properties of the waves in our case are in many respect similar to those observed by the spacecraft used in the study by Farrugia *et al.* (2000). It appeared, however, that the occurrence of the high-latitude auroras was rather controlled by the IMF  $B_z$  direction than by the surface wave activity. Visible auroras were observed preferentially during periods of IMF  $B_z < 0$  when dayside reconnection enhanced the ionospheric convection and R1 currents. During a short period we observed ~ 2 min pulsations in the auroral intensities, similarly as in the case study by Milan *et al.* (1999).

A wider inspection of the images acquired by the MIRACLE high-latitude ASCs revealed that sunward propagating brightenings are a relatively common feature in dusk sector non-FTE type auroras. A more detailed analysis of the magnetospheric and solar wind conditions favoring such behavior is needed in order to resolve whether the brightenings are as-

sociated with boundary wave dynamics, acceleration region phenomena, or with some magnetotail processes. Data collected during Cluster near-perigee conjunctions with the versatile ground-based instrument networks will be of great value also in such studies.

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